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JAN 04 2008

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45729

7590

10/04/2007

GATES & COOPER LLP  
 6701 CENTER DRIVE WEST  
 SUITE 1050  
 LOS ANGELES, CA 90045

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Barbara Senty

(Depositor's name)

Barbara Senty

(Signature)

January 4, 2008

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/080,945

02/22/2002

Jane Wen Chang

TITLE OF INVENTION: DIRECT NAVIGATION FOR INFORMATION RETRIEVAL

01/07/2008 HNGUYEN2 00000006 090460<sup>6493</sup> 10080945

01 FC:1501

1440.00 DA

02 FC:1504

300.00 DA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

NO

\$1440

\$300

\$0

\$1740

01/04/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
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WASSUM, LUKE S

2167

707-006000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

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2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

International Business Machines Corporation

Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

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☐ A check is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 09-0460 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

George H. Gates

Date January 4, 2008

Typed or printed name

George H. Gates

Registration No. 33,500

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**Gates & Cooper** *LLP*

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045

**FAX TRANSMISSION TO USPTO**

TO: Commissioner for Patents  
Attn: Examiner Luke S. Wassum  
Patent Examining Corps  
Facsimile Center  
Alexandria, VA 22313-1450

FROM: George H. Gates  
OUR REF.: SVL920050712US1  
TELEPHONE: (310) 642-4146

Total pages, including cover letter: 6

PTO FAX NUMBER: **571-273-2885**

If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	TRANSMITTAL SHEETS, FEE ADDRESS INDICATION FORM, AND ISSUE FEE TRANSMITTAL FORM PTOL-85.
Applicant:	Jane W. Chang et al.
Serial No.:	10/080,945
Filed:	February 22, 2002
Group Art Unit:	2167
Title:	DIRECT NAVIGATION FOR INFORMATION RETRIEVAL
Our Ref. No.:	SVL920050712US1

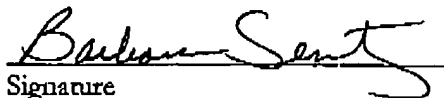
Please charge all fees to Deposit Account No. 09-0460 of IBM Corporation, the assignee of the present application.

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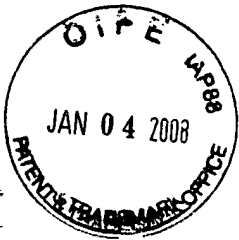
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January 4, 2008  
Date

GHG/bjs

G&C 30571.313-US-01



Notice of Allow. Date: October 4, 2007  
Confirmation No.: 6493  
Due Date: January 4, 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jane W. Chang et al. Examiner: Luke S. Wassum  
Serial No.: 10/080,945 Group Art Unit: 2167  
Filed: February 22, 2002 Docket: SVL920050712US1  
Title: DIRECT NAVIGATION FOR INFORMATION RETRIEVAL

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on January 4, 2008.

By: Barbara Senty  
Name: Barbara Senty

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**GATES & COOPER LLP**  
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By: GHG  
Name: George H. Gates  
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